

PATENT APPLICATION  
**RECEIVED**

JAN 12 2006

**ASSIGNMENT**

**OFFICE OF PETITIONS**

WHEREAS, we, Edayatimangalam Raja Bhavani Shanmugasundaram, Kalathinkal Radha Shanmugasundaram, Rolland Hébert, Sohail Malik and Michael Baker, have invented the subject matter which is described and claimed in the patent application entitled **COMPOSITIONS FOR TREATING AND PREVENTING DIABETES, IMPAIRED GLUCOSE TOLERANCE AND RELATED SYMPTOMS, AND METHODS FOR PREPARING AND USING SUCH COMPOSITIONS**, filed on March 26, 1998, is assigned as Serial No. 09/048,966, and is identified as Docket No. 53000.1001 of the law firm, Law Offices of Ann W. Speckman; and

WHEREAS, TerraVis, Inc., a Washington limited liability company, having a place of business 2661 Bel-Red Road, Suite 208, Bellevue, Washington 98008 is desirous of acquiring an interest therein;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we, Edayatimangalam Raja Bhavani Shanmugasundaram, Kalathinkal Radha Shanmugasundaram, Rolland Hébert, Sohail Malik and Michael Baker hereby sell, assign and transfer to TerraVis, Inc. the full and exclusive right, title and interest in and to said subject matter, patent application, and patent rights throughout the world, including foreign patent priority rights; said subject matter, application and patents in this or any foreign country and all divisions, continuations, reissues and extensions thereof, to be held and enjoyed by TerraVis, Inc., for its own use and benefit, and for its successors and assigns to the full end of the term for which patents may be granted in this or any foreign country, as fully and entirely as the same would have been held by us had this assignment and sale not been made, and covenant that we have full right to do so, and agree that we will communicate to TerraVis, Inc., or its successors and assigns, any facts known to us respecting said patent application and the subject matter described therein, and testify in any legal proceeding, sign all lawful papers, execute all

divisional, continuing and reissue applications, make all rightful oaths, and do everything possible to aid TerraVis, Inc., its successors and assigns to obtain and enforce proper patent protection for said subject matter in this or any foreign country.

Executed at Chennai, India, this 20<sup>th</sup> day of July,  
1998.

E. R. B. Shanmugasundaram

Edayatimangalam Raja Bhavani Shanmugasundaram

Executed at CHENNAI, India, this 20<sup>th</sup> day of July,  
1998.

K Radha Shanmugasundaram

Kalathinkal Radha Shanmugasundaram

Executed at Bellene, Washington, this 8<sup>th</sup> day of  
June, 1998.

Rolland Hébert

Rolland Hébert

Executed at Seattle, Washington, this 15<sup>th</sup> day of  
May, 1998.

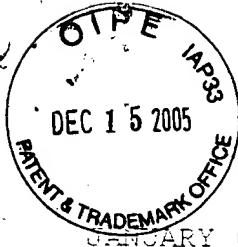
Sohail Malik

Executed at Bellvue, Washington, this 8 day of  
\_\_\_\_\_, 1998.



---

Michael Baker



RECEIVED  
JAN 15 1999  
BY: *Sil C. Acosta*  
JANUARY 05, 1999



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

PTAS

ANN W. SPECKMAN  
2601 ELLIOTT AVENUE, SUITE 4185  
SEATTLE, WA 98121



\*100827187A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/08/1998

REEL/FRAME: 9438/0929

NUMBER OF PAGES: 4

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TERRAVIS, INC.

DOC DATE: 07/20/1998

ASSIGNEE:

PHARMA TERRA, INC.  
2661 BEL-RED ROAD, SUITE 208  
BELLEVUE, WASHINGTON 98008

SERIAL NUMBER: 09048966

FILING DATE: 03/26/1996

PATENT NUMBER:

ISSUE DATE:

TONYA LEE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

DEC 15 2005

PTO/SB/96 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: PHARMATERRA, INC.

Application No./Patent No.: 5,980,902 Filed/Issue Date: 11/09/1999

Entitled:

PHARMATERRA, INC., a CORPORATION  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1.  the assignee of the entire right, title, and interest; or

2.  an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 9438, Frame 0929, or for which a copy thereof is attached.

OR

B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Wil Claus

12/14/05

Signature

Date

Wil Claus (Wilson S. Claus) (206) 940-7426

Printed or Typed Name

Telephone Number

EXECUTIVE VICE PRESIDENT

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**RECEIVED**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JAN 12 2006

OFFICE OF PETITIONS